



Worker's Compensation Board of Indiana (IN WCB)
EDI Claims Release 3.1
Information Webinar Questions/Answers

Q1: Are the slides available to print?

A1: Yes, the slides are available for download in a PDF format and you will be able to print them.

Q2: Can you please repeat the deadline for production approval?

A2: Indiana would ask that everyone complete the registration by November 1st. Indiana is setup and will be ready to accept test data beginning on August 24th. The testing will continue through December 13th. All reports that you would like to send using the Release 1 format must be received by Indiana on December 27, 2018 by 3:00 PM CT - 4:00 PM ET. Any Release 1 reports that are sent to Indiana after December 27, 2018 3:00 PM CT - 4:00 PM ET will not be processed and because after this date/time their Release 1 process ends to make their transition to Release 3.1. Any reports after this date/time must be submitted electronically using the Release 3.1 format as defined in the Indiana Release 3.1 requirement tables starting on January 1, 2019.

Q3: In what situations can FROI UR follow FROI 00, or is this a valid sequence?

A3: This is not a valid a sequence. A FROI UR cannot follow a FROI 00. Indiana will return TR on the acknowledgment with Error 063 Invalid event sequence on DN0002 MTC.

Q4: For the hold period, 12/28/18-12/31/18, are these claims considered legacy with FROI UR or new claims with FROI 00 since knowledge date will be less than 1/1/19?

A4: The claims for the hold period, 12/28/18-12/31/18 are not considered legacy claims, see Q2/A2 above on this same subject.

The reference to the Indiana FROI Event for 00 Original, 04 Denial, AU Acquired/Unallocated event that we discussed during the Information Session is revised and clarified below.

FROI Event for 00 Original, 04 Denial, AU Acquired/Unallocated:

Correction: These claims are NOT based on Date Claim Administrator Had (Notice or) Knowledge of the Injury (DN0041) prior to 1/1/2019.

Please see below the Event for upcoming publication on Indiana V1.1 changes to allow a FROI 00, 04 or AU to be reported as described.

Release	Report Type	Maintenance Type		Event Rule			Report Trigger		When is the Report Due?			Statute	Paper Form(s)	Receiver
		Code	Description	Criteria	From	Thru	Criteria	Trigger Value	Value	Due Type	From			
3.1	FROI	00, 04, AU	Original, Denial, Acquired/ Unallocated	2	1-1-19		J= Jurisdiction Defined	Event for Legacy Claims: A Legacy claim is any claim previously filed in R1 for which a Jurisdiction Claim Number (JCN) has been assigned. If a FROI R1 report was required and was not properly filed with INWCB, a Legacy Claim would not have been created for which to match to a FROI UR. (The FROI UR is intended to match a claim previously reported and to set the picture for R3.1 reporting.) When a Legacy claim was not properly filed, then a FROI 00, 04 or AU is required prior to submitting any SROI in R3.1 for Open or Re-opened Legacy claims. If a FROI Release 1 report WAS properly filed with INWCB, a Legacy Claim would have been created and a FROI 00, 04 or AU will not be accepted and a FROI UR is required in this case for the Legacy Claims. Also see FROI UR and SROI MTC UR Events for Legacy Claims. If a claim re-opens after 3/1/19, then the same Report Trigger shown above should be followed.	N/A	N/A	H-Immediate 1-1-19	N/A	N/A	N/A

Information Session slide #19 is shown below removing the reference to indicate 'These claims are based on Date Claim Administrator Had (Notice or) Knowledge of the Injury (DN0041) prior to 1/1/2019.'

Trading Partner Transition to Mandatory FROI SROI EDI Reporting for R3.1

How does the transition work? Report Legacy Claims:

A Legacy Claim properly filed: is any claim previously required and properly reported in Release 1 for which a Jurisdiction Claim Number (JCN) has been assigned.

A Legacy Claim not properly filed: is any claim previously required and not properly reported in Release 1 and no Jurisdiction Claim Number (JCN) assigned.

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The reference to the Indiana SROI Event for UR Update Report that we discussed during the Information Session is revised and clarified below.

SROI Event for UR – Update Report:

Correction: Following the FROI 00, 04 or AU, a SROI UR is allowed IF the Date Claim Administrator Had Knowledge of the Injury (DN0041) is on or before the R1 cutoff date of 12-27-18.

Please see below the Event for upcoming publication on Indiana V1.1 changes to allow a SROI UR following a FROI 00, 04 or AU to be reported as described.

Priority	Report Type	Maintenance Type		Event Rule			Report Trigger		When is the Report Due?			Statute	Paper Form(s)	Receiver
		Cor	Description	Criteria	From	Thru	Criteria	Trigger Value	Value	Due Tr	From			
3.1	SROI	UR	Update Report	2	1-1-19			<p>A Legacy claim is any claim previously reported in R1 which is still "active" and has not been closed in the claim administrator's system. The SROI UR is intended to match a claim previously reported and to set the picture for R3.1 reporting.</p> <p>Per FROI (00, 04, AU) Event, INWCB allows a FROI 00, 04 or AU to be reported on Legacy Claims (FROI R1 MTC) that were required and not properly filed.</p> <p>A SROI MTC UR can follow as the first SROI filed following the FROI MTC 00, 04 or AU. These claims are based on the applicable FROI MTC reported with the Date Claim Administrator Had Knowledge of the Injury (DN0041) on or before the R1 cut off date of 12-27-2018.</p> <p>Following a SROI MTC UR that is in TA acknowledgment status, any SROI MTC can follow where the next SROI MTC would reflect the next action taken by the claim administrator after the accepted UR filing. Normal sequencing based off the accepted UR transaction will apply to the MTC that follows the SROI MTC UR. Note: If a claim re-opens after 3-1-19, then the same Report Trigger shown above should be followed.</p>	N/A	N/A	H-Immediate 1-1-19	N/A	N/A	N/A

Information Session slide #21 is shown below changing the reference to indicate 'A SROI MTC UR can follow as the first SROI filed following the FROI MTC 00, 04 or AU. These claims are based on the applicable FROI MTC reported with the Date Claim Administrator Had Knowledge of the Injury (DN0041) on or before the R1 cutoff date of 12-27-2018.'

Trading Partner Transition to Mandatory FROI SROI EDI Reporting for R3.1

What EDI reports should be sent for Legacy Claims?

Legacy Claims not properly filed: If a FROI R1 MTC was required and was not properly filed with Indiana, a Legacy Claim would not have been created for which to match to a FROI UR, so the applicable FROI 00 (Original), 04 (Denial) or AU (Acquired/Unallocated) should be sent instead of a FROI UR.

Following the FROI 00, 04 or AU, a SROI UR is allowed **IF** the Date Claim Administrator Had Knowledge of the Injury (DN0041) **is on or before the R1 cut off date of 12-27-18**. If the SROI UR is not reported, then any applicable SROI per the Event Table and Sequencing edits is allowed to be reported. Please review the Event Table for details.

Indiana expects the FROI and SROI UR report submissions to be completed by 3/1/19. If a claim re-opens after 3-1-19, then the same Report Trigger should be followed.

Q5: Will Indiana allow a SROI FN to follow a FROI 00?

A5: A FROI 00 may be followed by a SROI FN, if the claim is medical only; however, we have a new edit going in V1.1 that will not allow the FN to follow a FROI 00 if any Benefit Type Codes are present on the FN. This would have required a prior IP/EP/PY.

Q6: Will Indiana require FROI UR's on medical only claims for Dates of Injury 7-1-18 through 12-31-18, due to the change in the statute (Indiana Code 22-3-4-13(a))? (Please note the revised response from what was presented in the recording.)

A6: No, Indiana will not require FROI UR's for medical only claims with a Date of Injury on or before the R1 cutoff of 12-27-18; however, they will accept them. Effective with Dates of Injury 12-28-18 and forward, a FROI is due for any claim where Medical Care is beyond First Aid (Initial Treatment Codes 2-5).

Q7: Just to confirm....as you previously discussed for legacy Release 1 claims...if we were supposed to send EDI Release 1 filings, but we didn't, what FROI and SROI reports should we send to Indiana in Release 3.1? (Please note the revised response from what was presented in the recording.)

A7: If the legacy claims were required but not previously reported, then you will need to file the applicable FROI 00, 04 or AU and have it accepted by Indiana. Following the accepted FROI, you can file a SROI UR, if the Date Claim Administrator Had Knowledge of Injury is *on or before the R1 cutoff of 12-27-18*. For these SROI UR's, any SROI report can follow, as the first SROI, to start the sequencing. Otherwise, you can send the applicable SROI that is permitted to follow the FROI, per the Event Table and Sequencing.

Q8: If I'm already an approved Trading Partner in Indiana for Release 1, do I have to re-register as a new Trading Partner for Release 3.1?

A8: The answer is Yes! Indiana has contracted with ISO to implement Release 3.1; so... every trading partner needs to complete and submit an electronic Trading Partner Profile for R3.1. Please refer to the Registration Instructions link under the Trading Partner Profile section on Indiana's EDI website. Each Trading Partner profile is reviewed and must be approved by Indiana. Keep in mind, in order to begin testing you must be an approved Release 3.1 Trading Partner.

Q9: I had some staff that couldn't attend today. Will this information session be available in the future?

A9: Yes, this Information Session is being recorded and the recording, the PowerPoint slides and the Questions and Answers will be available on Indiana's EDI website by August 27th. An announcement will be distributed once this information becomes available.

Q10: Currently Indiana returns pdf forms to us containing submitted information to send to injured workers. Will that continue after the R3.1 mandate?

A10: Yes, Indiana will continue to email pdf forms to you for mailing to the injured worker. We will be using the Claim Administrator Claim Representative Email Address (DN0138), so please ensure that this is an accurate email address.

Q11: Why does Indiana request that a FROI UR and SROI UR (if applicable) be completed by March 1st, 2019?

A11: It will set the picture for R3.1 filings and potentially reduce the amount of O2 Change filings. It would also be beneficial to get the MTC UR filings out of the way, so that you may continue with the normal sequencing of MTC reports.

Q12: How can I send EDI Claims Release 3.1 FROI and SROI reports to Indiana?

A12: You can send the FROI and SROI reports in the following ways:

1. You can report via a Direct Connection using SFTP from your own claims system. There is no cost to use this connection, OR
2. You can Log into the Indiana Free Web Portal, which is a free web service, recommended for low volume users to manually enter and submit each report, OR
3. You can use an EDI Vendor. Vendor costs will vary, and will depend upon the services you request from the vendor.

Q13: How do I sign up to use Indiana's Free Web portal to submit FROI and SROI Reports to Indiana?

A13: The Trading Partner Profile registration has a section called 'Filing Method'.

Under this section of the Trading Partner Profile, please select 'Jurisdiction Web Entry' as the Filing Method.

After you've submitted an approved Trading Partner Profile, the Indiana EDI Support Team will contact you regarding training and access to the Free Web system, before Indiana's implementation date.

Q14: How do I contact Indiana with questions on EDI Claims Release 3.1 Reporting?

A14: Send an email to the Indiana EDI Support Team at Indiana's EDI email address inwcbedi@iso.com.